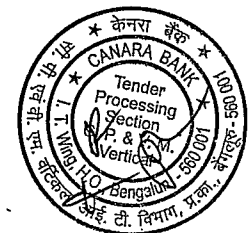
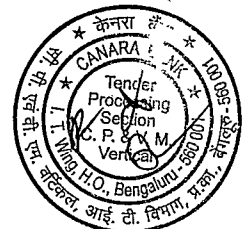


Pre-Bid Replies of EOI 09-2023-24 dated 19.03.2024 for Empanelment of IT/ Cyber Security Auditors from CERT-In Empaneled Auditors for period of three (03) years in Canara Bank.

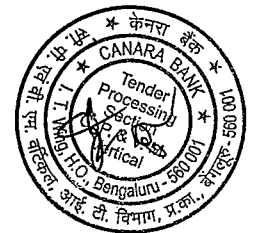
SL No.	Page No.	Section	EOI Clause	Clause/Technical/Eligible specification	Bidder's Query	Bank's Response
1	2	Generic	Bid Details in Brief Description	Tender fee (Non-refundable) - 5000/-	There is no details mentioned for the process of submitting the tender fee.	Bidders can submit the Tender fee with Payment online through RTGS / internet banking to the following: Beneficiary name: DIT Procurement Account No.:0792201002351 IFSC Code: CNRB0000792 Bank Name: Canara Bank Branch address: Trinity Circle Bangalore.
2	2	Generic	Bid Details in Brief Description	Tender fee (Non-refundable) - 5000/-	There is no format for tender fee submission	Bidders can submit the Tender fee with Payment online through RTGS / internet banking to the following: Beneficiary name: DIT Procurement Account No.:0792201002351 IFSC Code: CNRB0000792 Bank Name: Canara Bank Branch address: Trinity Circle Bangalore.
3	23	Annexure 3	Qualification Criteria	<p>Qualification Criteria; 3. The bidder should have successfully carried out the services of Information security /Cyber security audit in at least 2 scheduled commercial banks (SCBs) during last 5 years.</p> <p>Documents to be submitted In compliance with Qualification Criteria; Bidder has to submit copy of Purchase order and completion certificate.</p>	Request bank to ammend this clause as a "Bidder / Consortium / sub-contracting partner should have successfully carried out the services of Information security /Cyber security audit in at least 2 scheduled commercial banks (SCBs) during last 5 years.	Bidder to comply RFP Terms and Conditions



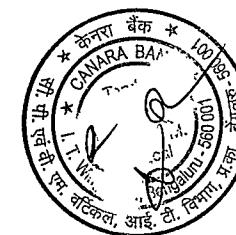
4	23	Annexure 3	Qualification Criteria	<p>Qualification Criteria; 4. The Bidder should be a CERT-IN Empaneled vendor as on date of submission of EOI.</p> <p>Documents to be submitted In compliance with Qualification Criteria; Copy of List of Empaneled Information Security Audit Organizations by CERT-IN containing the name of the bidder.</p>	Request bank to ammend this clause as a "Bidder / Consortium / sub-contracting partner hould be a CERT-IN Empaneled vendor as on date of submission of EOI.	Bidder to comply RFP Terms and Conditions
5	23	Annexure 3	Qualification Criteria	<p>Qualification Criteria; 5. The bidder is required to ensure active empanelment throught the three years empanelement period. In the event of being de-empenelment by the CERT-IN, the bank will also de-empanel the bidder.</p> <p>Documents to be submitted In compliance with Qualification Criteria; Bidder has to submit an undertaking to this effect.</p>	Request bank to ammend this clause as a "Bidder / Consortium / sub-contracting partner is required to ensure active empanelment throught the three years empanelement period.	Bidder to comply RFP Terms and Conditions
6	24	Annexure 3	Qualification Criteria	<p>Qualification Criteria; 10. The bidder should have minimum 20 ' qualified professional with market standard certification (CISA, DISA, CISM, CISSP, CEH, ISO 27001, etc.) **Only employees involved in Operation work will be considered. Those employees working in Management or Administrative office will not be considered.</p> <p>Documents to be submitted In compliance with Qualification Criteria; HR Certificate (along with list and certifications of the employees and self-declaration forms of employees on their experience and qualifications/certifications)</p>	Request bank to ammend this clause as a "Bidder / Consortium / sub-contracting partner should have minimum 20 'qualified professional with market standard certification (CISA, DISA, CISM, CISSP, CEH, ISO 27001, etc.) **Only employees involved in Operation work will be considered.	Bidder to comply RFP Terms and Conditions



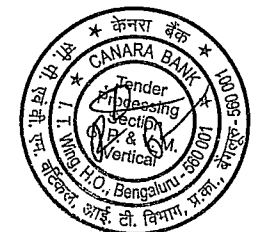
7	17	Generic	26. Subcontracting	26. 1. Principle bidder only can participate and bidder should not sub-contract to any other company /firm/trust. After Selection process of the bidder and order placement, resources deployed should be employed with the selected bidder and they should be on the payroll of the selected bidder.	26. 1. Request bank to ammend this clause as a "Bidder / Consortium / sub-contracting partner can participate. After Selection process of the bidder and order placement, resources deployed should be employed with the selected bidder / Consortium / sub-contracting partner and they should be on the payroll of the selected bidder / Consortium / sub-contracting partner .	Bidder to comply RFP Terms and Conditions
8	17	Generic	26. Subcontracting	26.2. The selected bidder shall not subcontract or permit anyone other than its personnel to perform any of the work, service or other performance required of the selected bidder under the contract without the prior written consent of the Bank.	Request bank to ammend this clause as a "Bidder / Consortium / sub-contracting partner will provide the required Manpower / resources to perform any of the work, services.	Bidder to comply RFP Terms and Conditions
9	23	Annexure 3	Qualification Criteria	Qualification Criteria; 3.The Bidder should have successfully carried out the services of information security/Cyber security audit in at least 2 scheduled commercial banks (SCBs) during last 5 years. Documents to be submitted In compliance with Qualification Criteria; Bidder has to submit copy of Purchase order and completion certificate.	Request you to revise the statement as below: Bidder has to submit copy of purchase order or completion certificate	Refer Corrigendum-1
10	26	Annexure 4	Technical Eligibility Criteria	6. Continuous empanelled with Cert-In for 10 years and above	Request you to revise the statement as below: Empanelled with Cert-In for 10 years and above	Bidder to comply RFP Terms and Conditions
11	10	7. Empanelment Procedure	NA	NA	Kindly confirm if we require to share the presentation as part of the technical bid submission	Presentation is not required



12	40	Annexure 10 Scope of Work	Generic	Generic	Regarding the technical testing, such as vulnerability assessment, penetration testing, and secure code review, will the Bank provide the necessary tools, or is the bidder expected to bring their own tools?	Bidder has to arrange their own tool
13	23	Annexure 3	Qualification Criteria	Qualification Criteria; 3.The Bidder should have successfully carried out the services of information security/Cyber security audit in at least 2 scheduled commercial banks (SCBs) during last 5 years. Documents to be submitted In compliance with Qualification Criteria; Bidder has to submit copy of Purchase order and completion certificate.	some banks don't provide a completion certificate, request you to consider below one Invoice against the Purchase order CA certificate against payment Letters from clients justifying work undertaken	Refer Corrigendum-1
14	26	Annexure 4	Technical Eligibility Criteria	Activity wise weightage	request you to consider below criteria Financial turnover	Bidder to comply RFP Terms and Conditions
15	27	Annexure 4	Technical Eligibility Criteria 4B Empanelment in BFSI in india (excluding PSBs) as IT/Cyber Security Auditors	1.Empanelment in BFSI in India (excluding PSBs) as IT/Cyber Security Auditors during last 5 years as on date of EOJ	Request you to modify this clause as below Empanelment in BFSI, FI, and NBFC in India (excluding PSBs) as IT/Cyber Security Auditors during the last 5 years as on date of EOJ	Bidder to comply RFP Terms and Conditions
16	30	Annexure 4	Technical Eligibility Criteria 4E Experience in specialized activities in last 5 years as on the date of EOJ	1. Regulatory security audits like IRDAI, Data localization, PFRDA, Audit etc	Request you to modify 1st clause 1. 1 Regulatory security audits like IRDAI, SEBI, Data localization, PFRDA, Audit etc Also consider Malware and Redteam assessment	Bidder to comply RFP Terms and Conditions. Security audit which has been mandated by any regulator in India is acceptable.

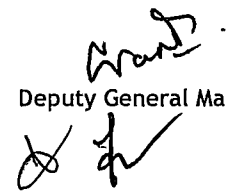


17	31	Annexure 4	Technical Eligibility Criteria 4F Empaneled with Cert-In in previous 10 years and above	Empaneled with Cert-In in previous 10 years and above	We are an MSME Firm with considerable and credible expertise in conducting engagements such as Comprehensive IS audits, VAPT, Apsec, RedTeam, API Security, Source Code Review, configuration review, and Other such engagements for multiple public and private sector banks in India and globally. Additionally, we possess considerable experience in conducting similar engagement for multiple state government institutions and subsidiaries of RBI. In lieu of providing equal opportunities for all and considering we are an MSME organization, request you to provide scores for organizations that have been empanelled with CERT-IN for more than 2 years.	Bidder to comply RFP Terms and Conditions
18	2	Generic	Tender fee	Tender fee Rs 5000 Non refundable	As we are MSME registered company. As per MSME Act, an MSE is exempt from paying Tender Fee / EMD. Request you to consider the same and waive tender fee / EMD for MSE - Documents attached for reference	MSEs and Startups are exempted from Tender fee subject to submission of Udyam registration certificate.
19	13	Generic	Earnest money Deposit	15.5 - As per the extant guidelines by Government, Micro and small (MSE) and start up companies are exempted for submitting Earnest Money Deposit (EMD)	Certificate attached	MSEs and Startups are exempted from EMD subject to submission of Udyam registration certificate.



20	29	Annexure 4	Technical Eligibility Criteria 4D Experience in handling various	<ol style="list-style-type: none"> 1. VAPT 2. Source code audit 3. Web application and mobile application 4. API Assessment 5. Forensic Audit 6. Secure configuration audit , Secure configuration document review 7. Cloud security 	<ol style="list-style-type: none"> 1. Kindly confirm how work orders to be issued for each activity in last 5 years 2. We request you to kindly amend the clause out of 7 activities 5 is compulsory. Since few activities where much of the banks have not made it mandatory . Few SOW assignment has been taken on request wise and not all firm gets the opportunity to perform all activities - Request you to consider and relax this clause 	Bidder to comply RFP Terms and Conditions
21	30	Annexure 4	Technical Eligibility Criteria 4E. Experience in Specialized activities in last 5 Years as on the date of EOI:	<ol style="list-style-type: none"> 1. Regulatory security audits like IRDAI, Data Localization Audit, PFRDA Audit etc., 2. Swift Infra audit 3. Compliance audit like PCIDSS , ISO 27001-2013 4. Api Gate way audit 5. AD assessment 6. NAC , WAF , firewall audit /assessment 7. Secure Architecture reviews 	<ol style="list-style-type: none"> 8. Kindly confirm how work orders to be issued for each activity in last 5 years 9. We request you to kindly amend the clause out of 7 activities 5 is compulsory. Since few activities where much of the banks have not made it mandatory . Few SOW assignment has been taken on request wise and not all firm gets the opportunity to perform all activities - Request you to consider and relax this clause 	Bidder to comply RFP Terms and Conditions

Place: Bengaluru
Date: 04-04-2024


 Deputy General Manager

